

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: December 7, 2006

Signature:

(Bruno Polito)

Docket No.: SONYTA 3.3-130  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Matsumura et al.

Application No.: 09/869,170

Group Art Unit: 2134

Filed: June 25, 2001

Examiner: M. J. Simitoski

For: DEVICE, METHOD AND STORAGE  
MEDIUM FOR SUPERIMPOSING FIRST  
AND SECOND WATERMARKING  
INFORMATION ON AN AUDIO SIGNAL  
BASED ON PSYCHOLOGICAL AUDITORY  
SENSE ANALYSIS

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(D) AND  
CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)

Dear Sir:

It is respectfully requested that the accompanying Information Disclosure Statement, filed after issuance of a final action, be considered pursuant to 37 C.F.R. § 1.97(d). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). The present submission is accompanied by a certification as required by 37 C.F.R. § 1.97(e).

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

12/14/2006 MWLDGE1 00000016 121095 09869170

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Application No.: 09/869,170

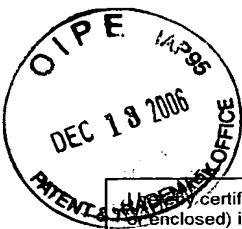
Docket No.: SONYTA 3.3-130

In the event that any additional fee is due in connection with the present petition, the same should be charged to our Deposit Account No. 12-1095.

Dated: December 7, 2006

Respectfully submitted,

By   
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Bruno Polito  
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Commissioner for Patents  
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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form, which were cited on a Supplementary European Search Report, be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each non-US patent or patent application, and the Search Report are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Dated: December 7, 2006

Respectfully submitted,

By Bruno Polito

Bruno Polito

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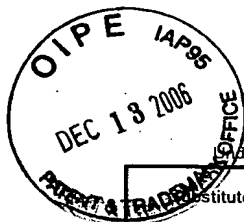
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180.00 DA



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/869,170-Conf. #9790
				Filing Date	June 25, 2001
				First Named Inventor	Yuuki Matsumura
				Art Unit	2134
				Examiner Name	M. J. Simitoski
Sheet	1	of	1	Attorney Docket Number	SONYTA 3.3-130

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	WO-00/72321	11-30-2000	Microsoft Corp		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	CA	MINTZER, F. ET AL: "IF ONE WATERMARK IS GOOD, ARE MORE BETTER?" 1999 IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING. PHOENIX, AZ, MARCH 15 - 19, 1999, IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING (ICASSP), NEW YORK, NY : IEEE, US, vol. VOL. 4, March 1999 (1999-03), pages 2067-2069.		
	CB	FRIDRICH, J: "HYBRID WATERMARK FOR TAMPER DETECTION IN DIGITAL IMAGES", INTERNATIONAL SYMPOSIUM ON SIGNAL PROCESSING AND ITS APPLICATIONS. PROCEEDINGS, vol. 1, 22 August 1999 (1999-08-22), pages 301-304.		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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